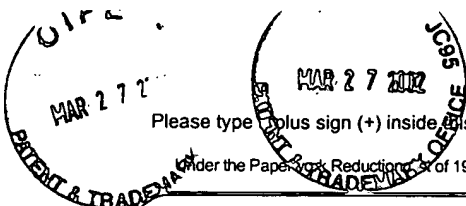


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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/045,313
	Filing Date	October 24, 2001
	First Named Inventor	Stephen Chin
	Group Art Unit	2164
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	020375-002910US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notice of Omitted Items in a Nonprovisional Application Copy of originally filed patent application
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Darin J. Gibby / Reg. No. 38,464
Signature	
Date	3/15/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3-15-02			
Typed or printed name	Connie Larson		
Signature		Date	3-15-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
DE 7065216 v1

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FEE TRANSMITTAL For FY 2001		Complete if Known	
		Application Number	10/045,313
		Filing Date	October 24, 2001
		First Named Inventor	Stephen Chin
		Examiner Name	
		Group Art Unit	2164
TOTAL AMOUNT OF PAYMENT (\$)		130	Attorney Docket No. 020375-002910US

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Fee Code Entity Fee (\$)	
1. BASIC FILING FEE		Small Fee Code Entity Fee (\$)	
Large Fee Code Entity Fee (\$)		Fee Description	
Small Fee Code Entity Fee (\$)		Fee Paid	
101 740 201 370 Utility filing fee		105 130 205 65 Surcharge - late filing fee or oath	
106 330 206 165 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
107 510 207 255 Plant filing fee		139 130 139 130 Non-English specification	
108 740 208 370 Reissue filing fee		147 2,520 147 2,520 For filing a request for reexamination	
114 160 214 80 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$)		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims -20** =		116 400 216 200 Extension for reply within second month	
Independent Claims -3** =		117 920 217 460 Extension for reply within third month	
Multiple Dependent X		118 1,440 218 720 Extension for reply within fourth month	
Large Fee Code Entity Fee (\$)		128 1,960 228 980 Extension for reply within fifth month	
Small Fee Code Entity Fee (\$)		119 320 219 160 Notice of Appeal	
Fee Description		120 320 220 160 Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20		121 280 221 140 Request for oral hearing	
102 84 202 42 Independent claims in excess of 3		138 1,510 138 1,510 Petition to institute a public use proceeding	
104 280 204 140 Multiple dependent claim, if not paid		140 110 240 55 Petition to revive - unavoidable	
109 84 209 42 ** Reissue independent claims over original patent		141 1,280 241 640 Petition to revive - unintentional	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		142 1,280 242 640 Utility issue fee (or reissue)	
SUBTOTAL (2) (\$)		143 460 243 230 Design issue fee	
**or number previously paid, if greater; For Reissues, see above		144 620 244 310 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740 279 370 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.	
		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$130)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Darin J. Gibby	Registration No. (Attorney/Agent)	38,464
Signature		Telephone	303-571-4000
		Date	3/15/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/045,313	10/24/2001	Stephen Chin	0203375-002910US

CONFIRMATION NO. 3142

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

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Date Mailed: 03/01/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- o Page(s) 7&8 of the specification (description and claims).


I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside.

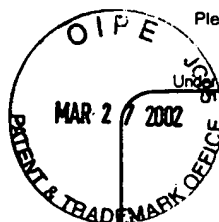


PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 020375-002910US

First Inventor Stephen Chin

Title INTERNET-BASED MONETARY PAYMENT
SYSTEM

Express Mail Label No. EL903199789US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 11]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Declaration and Power of Attorney [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper (number of pages)
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or
its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09 / 307,485

Prior application information: Examiner J. Pwu

Group Art Unit: 2164

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

20350

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Townsend and Townsend and Crew LLP				
Address					
City		State		Zip Code	
Country		Telephone	(303) 571-4000	Fax	(303) 571-4321

Name (Print/Type)	Darin J. Gibby	Registration No. (Attorney/Agent)	38,464
Signature		Date	10/23/01

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Initials: DJG/CL Date: October 24, 2001
PTO Stamp indicates receipt of: ☒ Patent Matter ☐ Trademark Matter ✓
Application Docket No.: 020375-002910US
Applicant: Stephen Chin
Title or Mark: INTERNET-BASED MONETARY PAYMENT SYSTEM
App. No.:
Filed/Issued Date:
☐ Certificate of Mailing
☒ Express Mail No.: EL903199789US
☐ Check for \$
No. Pages in Specification
No. Pages in Claims
No. Pages of Abstract
No. Sheets of Drawings

REC'D

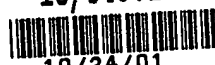
FEB 21 2002

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

- 1) Utility Patent Application Transmittal
- 2) Fee Transmittal
- 3) Preliminary Amendment
- 4) Application Data Sheet
- 5) Copy of Declaration and Power of Attorney from parent
- 6) Patent Application

JC872 U.S. PTO

10/045313



10/24/01

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